# Altimum Mutuals Inc.

## *All clients must answer these two questions when investing money:*

1. Purpose of Investment_____

2. Source of Investment Funds _____

### Fundfacts Receipt and Pre-Trade Cost Disclosure

*The companies who manage Mutual Funds for you have designed forms which summarize key points about the funds you are purchasing, including investment objectives, past performance, suitability, and fees, called a Fundfacts Document. A Mutual Fund Dealer such as Altimum Mutuals Inc. has a responsibility to deliver a Fundfacts document for each fund that you wish to purchase before the Dealer accepts the purchase instructions. The purpose of this document is to have you acknowledge receipt of the Fundfacts documents and to acknowledge that you understand any fees and charges prior to you purchasing the funds.*

A. I acknowledge having received Fundfacts documents for each of the following funds:

a) _____

b) _____

c) _____

d) _____

e) _____

f) _____

(for additional funds, use a separate Confirmation of Receipt document)

*I acknowledge that there may be fees or costs charged by the product manufacturer or the plan trustee depending on the investment product. There may also be fees charged at the time that I withdraw money or close my account, including Deferred Sales Charges (DSC fees) in certain cases. My representative has explained these fees to me and I have understood and accepted them. I understand that prior to the acceptance of any order in my account that would trigger such fees I shall be advised of any sales charges, service charges or any other fees that might be charged in respect of such transaction and my representative is required by Altimum Mutuals Inc. to keep a record of that disclosure.*

B. With regard to my purchase today I understand and acknowledge the following fees or charges:

1. DSC fees in the event of early redemption (if applicable) would be applied according to the following schedule:

| Fund a) | Fund b) | Fund c) | Fund d) | Fund e) | Fund f) |
|---|---|---|---|---|---|
| In year 1 ____% | In year 1 ____% | In year 1 ____% | In year 1 ____% | In year 1 ____% | In year 1 ____% |
| In year 2 ____% | In year 2 ____% | In year 2 ____% | In year 2 ____% | In year 2 ____% | In year 2 ____% |
| In year 3 ____% | In year 3 ____% | In year 3 ____% | In year 3 ____% | In year 3 ____% | In year 3 ____% |
| In year 4 ____% | In year 4 ____% | In year 4 ____% | In year 4 ____% | In year 4 ____% | In year 4 ____% |
| In year 5 ____% | In year 5 ____% | In year 5 ____% | In year 5 ____% | In year 5 ____% | In year 5 ____% |
| In year 6 ____% | In year 6 ____% | In year 6 ____% | In year 6 ____% | In year 6 ____% | In year 6 ____% |
| In year 7 ____% | In year 7 ____% | In year 7 ____% | In year 7 ____% | In year 7 ____% | In year 7 ____% |
| In year 8 ____% | In year 8 ____% | In year 8 ____% | In year 8 ____% | In year 8 ____% | In year 8 ____% |

2. Trailer fees (if applicable) would be paid to my dealer as follows:

At the rate of ____% for _____years

At the rate of ____% indefinitely thereafter.

BY SIGNING BELOW I ACKNOWLEDGE THAT I HAVE RECEIVED A COPY OF THIS FORM.

Client Signature_____ Name_____ Date_____

Joint Client/Guarantor's Signature_____ Name_____ Date_____

Rep Signature _____ Rep Code 7767 _____ Date_____

Compliance Officer Approval (Signature) _____ Date_____